Supplemental Statement Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending 30 JUNE 2007

I - REGISTRANT

AND AS (c) Business 10	FIELD CARTER SOCIATES Address(es) of Registrant PIO PENNSYLVAN ASHINGTON D.	C. 20003			
2. Has there be	en a change in the information	i previously furnishe	d in connection with the	e following:	
(a)	If an individual: (1) Residence address (2) Citizenship (3) Occupation	Yes	No		
(b)	If an organization: (1) Name (2) Ownership or control (3) Branch offices	Yes ☐ Yes ☐ Yes ☐	No 🔀 No 🖾 No 🖾		
(c)	Explain fully all changes, if	any, indicated in ite	ms (a) and (b) above.		
	IF THE REGISTRAN	NT IS AN INDIVIDUAL.	OMIT RESPONSE TO IT	EMS 3, 4 AND 5(a).	
3. If you have p	previously filed Exhibit C ¹ , sta	ate whether any chan Yes	ges therein have occurr	red during this 6 mo	
If yes,	have you filed an amendment	to the Exhibit C?	Yes □ N	łо 🗆	2 @7
If no, p	please attach the required ame	ndment.			207 JUL 25 PN 3:50

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attomey General, Criminal Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any perso period?	ns ceased acting as partners, office Yes \(\sum \) No \(\sum \)	rs, directors or similar official	ls of the registrant duri	ng this 6 month reporting
If yes, furnish the fo	ollowing information:			
Name	Posit	ion	Date c	onnection ended
(b) Have any perso	ons become partners, officers, direc Yes D No 🛛	tors or similar officials during	this 6 month reporting	g period?
If yes, furnish the fo	ollowing information:			
Name	Residence address	Citizenship	Position	Date assumed
<i>5</i> (1) H		1: 4 : 6 4		10
5. (a) Has any person	named in item 4(b) rendered service Yes No 🛛	ces directly in furtherance of t	he interests of any fore	ngn principal?
If yes, identify each	h such person and describe his serv	ice.		
	oyees or individuals, who have filed the registrant during this 6 month			r employment or
If yes, furnish the f Name	following information: Position or c	onnecti on	Date terminated	
or will render se secretarial, or in	onth reporting period, has the regis rvices to the registrant directly in f a related or similar capacity? Yes following information:	urtherance of the interests of a		
Name	Residence address	Citizenship	Position	Date assumed
6. Have short form re	egistration statements been filed by	all of the persons named in It Yes \text{No } \text{I}		e supplemental statement?
If no, list names o	f persons who have not filed the re-		// A	

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended durin Yes No	ng this 6 month reporting period?
If yes, furnish the following information:	
Name of foreign principal	Date of termination
8. Have you acquired any new foreign principal ² during this 6 Yes No	month reporting period?
If yes, furnish the following information:	
Name and address of foreign principal	Date acquired
•	
In addition to those named in Items 7 and 8, if any, list fore reporting period.	eign principals ² whom you continued to represent during the 6 month
EMBASSY OF JAPAN	1
INSTITUTE OF CET	TACEAN RESEARCH
INGTO LE OF LE	
10. EXHIBITS A AND B	
(a) Have you filed for each of the newly acquire	ed foreign principals in Item 8 the following:
	No 🗆
Exhibit B 165 🗆	No 🗆
If no, please attach the required exhibit.	
If no, please attach the required exhibit.	
If no, please attach the required exhibit. (b) Have there been any changes in the Exhibits	No SA and B previously filed for any foreign principal whom you Yes No No No No No No No No No No

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the

Act. (See Rule 208.)

3 The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67), sets forth the information required to be disclosed concerning each foreign principal.

4 The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes No \(\sigma\)
If yes, identify each such foreign principal and describe in full detail your activities and services:
EMBASSY OF JAPAN - PROVIDED ADVICE AND ASSISTANCE REGARDING COMMUNICATIONS WITH US EXECUTIVE AND LEGISLATIVE BRANCHES OF GOVERNMENT REGARDING WHALING POLICY.
INSTITUTE OF CETACGAN RESEARCH - AS ABOVE
12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?
Yes 🖾 No 🗆
If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.
SEE ATTACHMENT 1
13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes No No
If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14 . ((a) RECEIPTS - MONIE During this 6 mor	nth reporting period, have	you received from any fore	ign principal named in I	tems 7, 8, and 9 of this ntributions, income or money
		ation or otherwise?	Yes ⊠ No □	oro.g primorpui, any	,
	If no, explain why	/ .			
	If yes, set forth be	low in the required detail	and separately for each fore	rign principal an accoun	t of such monies ⁶
	Date	From whom	Purpose		Amount
		5(EE ATTACHNEN	τ 2	
			The state of the s		
					Total
(nth reporting period, hav	e you received, as part of a fig. 9 of this statement? Yes		any money on behalf of any
	If yes, have you f	iled an Exhibit D ⁸ to you	r registration? Yes	No 🗆	
	If yes, indicate th	e date the Exhibit D was	filed. Date		
(named in Items 7	nth reporting period, hav	e you received any thing of vant, or from any other source,		
	If yes, furnish the	e following information:			
	Name of foreign principal	Date received	Description of thing of value	Purpose	e

^{6,7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed for m is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

						(PAGE 6)
15. (a)	DISBURSEMENTS During this 6 mc	- MONIES onth reporting period,	have you			
	(1) disbursed or 9 of this state	expended monies in ement?	connection with activity	y on behalf of Yes 📈	f any foreign princip No 🏻	oal named in Items 7, 8, and
	(2) transmitted r	nonies to any such fo	reign principal?	Yes 🗆	No 🗹	
	If no, explain in	full detail why there v	were no disbursements	made on beha	alf of any foreign pri	incipal.
		elow in the required ded, if any, to each fore		each foreign	principal an accour	nt of such monies, including
	Date	To whom	Purpose			Amount
		SEE	ATTACHMENT	7 2		
	Ÿ.					
				•		
				٠		
					•	·
	•					

Total

(b)	During this 6 mon connection with ac	th reporting period, he ctivities on behalf of a Yes No	nave you disposed of anythin any foreign principal named	g of value ¹⁰ other than mone in Items 7, 8, and 9 of this s	ey in furtherance of or in statement?
	If yes, furnish the	following informatio	on:		
	Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
				·	
		•			
(c)	During this 6 mon other person, mad or in connection v	le any contributions of	nave you from your own fund of money or other things of vi- tion, convention, or caucus h	alue ¹¹ in connection with an	either directly or through any election to any political office political office?
	If yes, furnish the	following information	on:		
	Date .	Amount or thing of value	Name of political organizati	•	Name of candidate

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V-INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials 12? Yes No
IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.
17. Identify each such foreign principal.
17. Identify eden eden fereign printerpair
ASTUTE OF CETACOAN RESCARCIT
EMBASSY OF JAPAN
18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes \(\sum_{\text{No}}\) No
If yes, identify each such foreign principal, specify amount, and indicate for what period of time.
19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of information materials include the use of any of the following:
Radio or TV Magazine or newspaper Motion picture films Letters or telegrams broadcasts articles
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Internet ☐ Other (specify) BACKGROUND INFO FOR NOWS PAPER REPORTERS
20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:
☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
Other (specify)
21. What language was used in the informational materials:
English Other (specify)
22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes No SEE ATTACHNENT
23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Type or print name under each signature 13)
10 JULY 2007	Ryan Butterti
	R. IAN BUTTERFIELD
	Can
	GAVIN J. CARTER

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE FARA REGISTRATION UNIT National Security Division WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. forme	rly Form	OBD-64-Supplemental	ction V (Informational Materials - page 8 Statement):	of Form CRMI−154,
	YES_	<u> </u>	or NO	
(If you	ır answe	er to question 1 is "yes" d	o not answer question 2 of this form.)	·
2.	•	6	al in connection with your registration:	
	YES_	√	or NO	
films, past s	film cat ix montl	alogs, posters, brochures,	lease forward for our review copies Of all press releases, etc. which you have dissest ATTACHMENT 3	material including: minated during the
Signa	TAN e type or tory on PART Title	BUTTERFIELD print name of the line above		2007 JUL 25 FN 3:50

ATTACHMENT 1

1/16/07 MEETING, with Senator Ted Stevens. SUBJECT renewal of Alaska Inupiat bowhead whaling quota and US policy at pending annual meeting of International Whaling Commission, Anchorage, Alaska

1/16/07 MEETING with Senator Lisa Murkowski. SUBJECT renewal of Alaska Inupiat bowhead whaling quota and US whaling policy at pending annual meeting of International Whaling Commission, Anchorage, Alaska

1/17/07 MEETING with David Whaley, House Natural Resources Committee. SUBJECT renewal of Alaska Inupiat bowhead whaling quota and Japanese initiative sat pending annual meeting of International Whaling Commission, Anchorage, Alaska.

4/24/07 MEETING with: Arne Fuglvog. Office of Senator Murkowski; Todd Bertson, Senate Commerce Committee; Dave Whaley, House Natural Resources Committee. SUBJECT pending annual meeting of International Whaling Commission, Anchorage, Alaska.

5/14/07 DISTRIBUTED "Butterfield Carter and Associates quick reference on various IWC issues" to: Agence France Presse; Anchorage Daily News; Washington Post; New York Times

ATTACHMENT 2 Question 14 (a) Period Ending June 30 2007

ICR = Institute of Cetacean Research

EoJ = Embassy of Japan

<u>DATE</u>	<u>CLIENT</u>	<u>AMOUNT</u>	
1/31/07	ICR	\$6,317.12	\$6,000.00 monthly retainer \$305.00 filing fee \$12.12 cab fare
2/28/07	ICR	\$6,359.63	\$6,000.00 monthly retainer \$158.00 broadcast monitoring costs \$201.00 entertainment
3/30/07	ICR	\$6,030.00	\$6,000.00 monthly retainer \$30.00 entertainment
3/30/07	EoJ	\$25,000.00	\$22,500.00 first quarter retainer \$1,500.00 quarterly communication expenses \$1,000.00 quarterly meeting expenses
4/30/07	ICR	\$6,025.00	\$6,000.00 monthly retainer \$25.00 entertainment
5/31/07	ICR	\$8,982.01	\$6,000.00 monthly retainer \$137.81 entertainment \$1,496.50 airfare to Anchorage, Alaska \$44.00 cab fares, Anchorage \$1,149.70 accommodation costs \$308.00 dining costs
6/30/07	ICR	\$6,000.00	\$6,000.00 monthly retainer
6/30/07	ЕоЈ	\$61,500.00	\$60,000.00 quarterly retainer \$1,500.00 communications expenses

ATTACHMENT 3

Butterfield Carter and Associates quick reference on various IWC issues

International Whaling	> Established in 1948 by the ICRW (International
Commission	Convention for the Regulation of Whaling)
(IWC)	> Has 75 members states
	> Approximately equal split between anti-whaling
	countries and those that support sustainable use of
	all marine resources
	> This has paralyzed decision-making process (3/4
	majority required to pass regulations; simple
	majority to adopt non-binding resolutions)
	> Scientific Committee provides advice on status of
	whale stocks
	> Provides aboriginal/ subsistence quotas for U.S.,
	Denmark (Greenland), St. Vincent and the
	Grenadines, Russia – but not Japan
International Convention for the	> Purpose: " to provide for the proper
Regulation of Whaling	conservation of whale stocks and thus make possible
(ICRW)	the orderly development of the whaling industry."
	> A legally binding international treaty – object,
	purpose, provisions cannot be changed by IWC
	> Article V requires decisions/ regulations to be
	based on scientific findings and take into
	consideration interests of consumers of whale
	products and the whaling industry
	> Article V also allows member states to file an
	objection (not be legally bound) to specific
	regulations
	> Article VIII provides right to conduct lethal
	research whaling and requires that by-products
	(whale meat) be utilized
	> Includes a "Schedule" with whaling regulations
7711	that can be amended by IWC
Whale population numbers (as	> Minke Antarctic 760,000 (currently under review)
agreed by IWC Scientific	> Minke North Atlantic 149,000
Committee)	> Minke North Pacific 25,000
	> Fin North Atlantic 47,300
	> Humpback Western North Atlantic 11,570
	> Humpback Antarctic 10,000 (increasing > 10% pa)
	> Bryde's North Pacific 25,300
	> Gray Eastern North Pacific 26,300
	> Bowhead 8,000
	> Pilot North Atlantic 780,000

Moratorium	> Secured three-quarters majority in 1982; came into
	effect in 1985
"Paragraph 10(e)" of the Schedule	
	> Vote secured by recruitment of new members
	> No scientific basis
	> Norway, Russia and Iceland have objections
	> Peru lifted its objection in 1983; Japan lifted its
	objection in 1987
Indian Ocean Sanctuary (1979)	> No scientific basis
Southern Ocean Sanctuary (1994)	> Cover commercial whaling (not research whaling)
	> Japan has objection to SOS for minke whales
Research whaling	> A right under Article VIII of the International
	Convention for the Regulation of Whaling (ICRW)
	> Assists against over-exploitation (as with other
	fisheries)
	> Japan has undertaken lethal research since 1987
	(JARPA, JARPN, JARPA II); Iceland since 2003
	> Research reveals information about whale stocks
	and marine eco-system that can be used to establish
	quotas
	> The IWC Scientific Committee has recognized the
	value of Japan's research
	1 -
	> Anti-whalers says lethal research not necessary
	because there should be no commercial whaling
	anyway
	> U.S. has opposed lethal research since 1980s
	saying it is "unnecessary"; could still certify Japan
	under "Pelly Amendment" for diminishing "the
	effectiveness of an international fishery conservation
	program"; Iceland certified in 2004, Japan in 2000
Revised Management Scheme	> Supported by whaling countries; opposed/ delayed
(RMS – whale management	by anti-whaling countries
system)	> U.S. professes support in principle for RMS – but
	under strict/ unrealistic terms. In 2004, U.S. linked
	agreement on RMS to abolition of research whaling.
	> Chairman Fischer stated in 2004: "Failure to put
	an RMS in place will jeopardize the future of the
	IWC and serves neither the interests of whale
	conservation nor management."
	> Negotiations to complete RMS suspended March
	2006 after 14 years of negotiations to establish an
	observation and inspection scheme
	observation and inspection sellente

Demand for whale products	> Substantially smaller than historical demand which was oil focused. There is no prospect of a return to large, competing international whaling fleets to supply today's whale meat market > Commercial whaling for abundant stocks under scientifically determined quotas is sustainable
Coastal whaling	> Approved by IWC except for Japanese fishermen > U.S. opposes Japanese quota because it would be commercial > U.S. bowhead quota (67 animals per year) last authorized in 2002; renewal set for 2007 > Japan has characterized U.S. position as "hypocrisy"
Scientific Committee	> Contains over 200 scientists from countries and NGOs. Increasingly politicized since 1990s.
Future of IWC	> Established by ICRW "to provide for the proper conservation of whale stocks and thus make possible the orderly development of the whaling industry." > Serves no real purpose without a management system > Serves no real purpose without commercial whaling > Regulations should "be based on scientific findings" > Japan wants to "normalize" IWC > Anti-whaling countries wish to recast IWC as a whale protection body > U.S. would have to ratify new treaty; doubtful whether whaling nations other than the U.S. would remain in such a body

Useful Websites

International Whaling Commission: http://www.iwcoffice.org/

Institute of Cetacean Research (Tokyo): http://www.icrwhale.org/eng-index.htm

North Atlantic Marine Mammal Commission: http://www.nammco.no/ World Council of Whalers: http://www.worldcouncilofwhalers.com/

IWMC World Conservation Trust http://www.iwmc.org/

Article V 2 of the ICRW

These amendments of the Schedule (a) shall be such as are necessary to carry out the objectives and purposes of this Convention and to provide for the conservation, development, and optimum utilization of the whale resources; (b) shall be based on scientific findings; (c) shall not involve restrictions on the number or nationality of factory ships or land stations, nor allocate specific quotas to any factory ship or land station or to any group of factory ships or land stations; and (d) shall take into consideration the interests of the consumers of whale products and the whaling industry.

Article VIII of the ICRW

- 1. Notwithstanding anything contained in this Convention any Contracting Government may grant to any of its nationals a special permit authorizing that national to kill, take and treat whales for purposes of scientific research subject to such restrictions as to number and subject to such other conditions as the Contracting Government thinks fit, and the killing, taking, and treating of whales in accordance with the provisions of this Article shall be exempt from the operation of this Convention. Each Contracting Government shall report at once to the Commission all such authorizations which it has granted. Each Contracting Government may at any time revoke any such special permit which it has granted.
- 2. Any whales taken under these special permits shall so far as practicable be processed and the proceeds shall be dealt with in accordance with directions issued by the Government by which the permit was granted.
- 3. Each Contracting Government shall transmit to such body as may be designated by the Commission, in so far as practicable, and at intervals of not more than one year, scientific information available to that Government with respect to whales and whaling, including the results of research conducted pursuant to paragraph 1 of this Article and to Article IV.
- 4. Recognizing that continuous collection and analysis of biological data in connection with the operations of factory ships and land stations are indispensable to sound and constructive management of the whale fisheries, the Contracting Governments will take all practicable measures to obtain such data.

Paragraph 10 (e) of the Schedule

(e) Notwithstanding the other provisions of paragraph 10, catch limits for the killing for commercial purposes of whales from all stocks for the 1986 coastal and the 1985/86 pelagic seasons and thereafter shall be zero. This provision will be kept under review, based upon the best scientific advice, and by 1990 at the latest the Commission will undertake a comprehensive assessment of the effects of this decision on whale stocks and consider modification of this provision and the establishment of other catch limits.

Distributed by Butterfield Carter and Associates on behalf of the Embassy of Japan. Additional information is available from the Department of Justice, Washington, DC. May 2007.